

Please type a plus sign (+) inside this box →

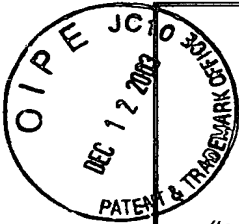
+

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

09/687,464

Filing Date

October 13, 2000

First Named Inventor

FUJISAWA, Takafumi

Group Art Unit

2837

Examiner Name

Fletcher, Marlon T.

Attorney Docket Number

72760 [00P290PS-US00]

ENCLOSURES (check all that apply)



Fee Transmittal Form
(in duplicate)



Fee Attached



Amendment / Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority
Document(s)



Response to Missing Parts/
Incomplete Application



Response to Missing Parts
under 37 CFR 1.52 or 1.53



Assignment Papers
(for an Application)



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation
Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

Remarks

- Supplemental Information Disclosure Statement



After Allowance Communication
to Group



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please
identify below):

- Form PTO-1449

- copies of three (3) references

- Return Receipt Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or

Individual name

Richard E. Wawrzyniak, Reg. No. 36,048

FITCH, EVEN, TABIN & FLANNERY

Signature

Date

12/9/03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

December 9, 2003

Typed or printed name

Pamela M. Stone

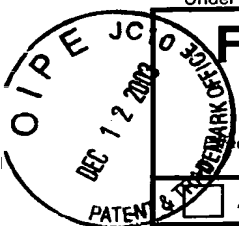
Signature

Pamela M Stone

Date

December 9, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Approved for use through 07/31/2006. PTO/SB/17 (10-03)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
OMB 0651-0032

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$180.00

Complete if Known

Application Number	09/687,464
Filing Date	October 13, 2000
First Named Inventor	Fujisawa, Takafumi
Examiner Name	Fletcher, Marlon T.
Group Art Unit	2837
Attorney Docket No.	72760 [00P290PS-US00]

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number
06-1135

Deposit Account Name
Fitch, Even, Tabin & Flannery

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) \$00.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20**=	X	=
Independent Claims	-3**=	X	=
Multiple Dependent			=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$00.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$180.00

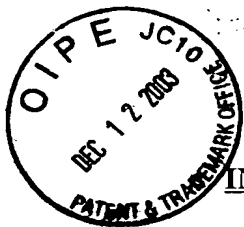
SUBMITTED BY

Name (Print/Type)	Richard E. Wawrzyniak	Registration No. (Attorney/Agent)	36,048	Telephone	858-552-1311
Signature		Date	12/9/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fujisawa et al.
Application No.: 09/687,464
Filed: October 13, 2000
For: ENTERTAINMENT SYSTEM,
ENTERTAINMENT APPARATUS,
RECORDING MEDIUM,
AND PROGRAM
Group Art Unit: 2837
Examiner: Fletcher, Marlon T.

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date.

December 9, 2003
Date

Pamela M. Stone
Pamela M. Stone

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

- ____ Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.
- X Under 37 CFR §1.97(c) together with either:
____ a statement under 37 CFR §1.97(e), or
 X a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

____ Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

12/16/2003 MAHMED1 00000084 061135 09687464
01 FC:1806 180.00 DA

Information Disclosure Statement
U.S. Appln. No. 09/687,464

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with three (3) references listed as items AA-AC.

Copies of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

Item AC, which is not in the English language, was previously submitted in the IDS mailed August 29, 2003, but is being resubmitted now, due to an error in the page numbers previously listed. A full English language translation of item AC is also included herewith.

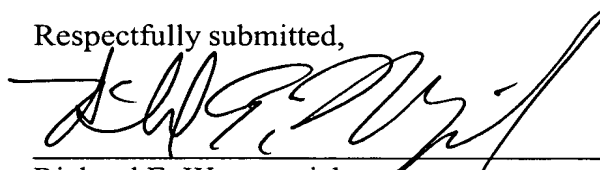
Items AA and AB are copies of references that were cited in the Notice of Allowance for related U.S. application serial no. 09/687,578.

Copies of items AA-AC are included herewith.

It is requested that the information provided herein be made of record in this application.

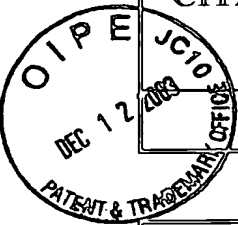
Dated: 12/9/03

Respectfully submitted,


Richard E. Wawrzyniak
Reg. No. 36,048

Address all Correspondence to:

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Richard E. Wawrzyniak
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
Telephone: (858) 552-1311
Facsimile: (858) 552-0095



Form PTO-1449 (Modified) SUPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Application No.	09/687,464
	Filing Date	October 13, 2000
	First Named Inventor	Fujisawa, Takafumi
	Group Art Unit	2837
	Examiner Name	Fletcher, Marlon T.
Sheet 1 of 1	Attorney Docket No.	72760 [00P290PS-US00]

U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AA		5,592,602		Edmunds et al.	01/07/1997			05/17/1994
	AB		5,212,733		DeVitt et al.	05/18/1993			02/28/1990

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AC		A. MERCK; "Steinberg Cubase Audio Sequenzer & Harddisk-Recording fur Apple Macintosh"; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-115 and 118; XP-002240715; (full 10-page English translation also included).

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			